



United States Environmental Protection Agency Marine Declaration Form 3520-28
Importation of Engines Used in Marine Applications, Subject to Federal Air Pollution Regulations
 U.S. E.P.A., Certification & Compliance Division (6405-J), 1200 Pennsylvania Ave. N.W., Washington D.C. 20460

The following marine engines are subject to U.S. EPA certification and labeling requirements:

Outboard spark ignition (SI) marine engines manufactured after January 1, 1998.

using gasoline, methanol, compressed natural gas, liquid petroleum gas, propane, or other alternative fuels.

Personal watercraft* spark ignition marine engines manufactured after January 1, 1999 (40 CFR 91.106(a), 91.701).

using gasoline, methanol, compressed natural gas, liquid petroleum gas, propane, or other alternative fuels.

**A personal watercraft marine engine is defined as any spark ignition marine engine that is not an outboard, inboard or sterndrive engine - personal watercraft marine engines are typically found in a Jet Ski, Seadoo, Wave Runner, Polaris Watercraft or similar.*

Non-propulsion compression ignition (CI) engines used in marine applications (propulsion CI engines are not yet regulated):

- less than **19 kW** (25 Hp), manufactured after January 1, 2000.
- greater than or equal to **19 kW** (25 Hp) but less than **37 kW** (50 Hp) manufactured after January 1, 1999 (40 CFR 89.102).

Note: This form must be prepared and retained by the importer for each imported outboard SI, personal watercraft, or non-propulsion CI engine. If you are an individual importing an outboard engine or personal watercraft for your own use, and the engine either bears a U.S. EPA emission control label or was manufactured prior to EPA regulation, you may complete the simplified form 3520-28a instead.

One form per shipment may be used, with attachments including all information required to fully describe each engine as below. Provide a duplicate form including both pages and attachments to the U.S. Customs Service (Customs) (42 USC 7522, 7601: 19 CFR 12.74) upon request. Note: Although only box 5 imports require specific written authorization from EPA, Customs may request EPA review of importer documentation and eligibility for any import using this form. Check all boxes below indicating the provisions under which you are importing the marine engines, and also list these separately for each engine imported. Post-manufacture marinizers and dressers importing compression ignition engines for modification, instead use form 3520-21, box 3. See form 3520-21 for non-propulsion CI and SI engines not covered by this form.

Penalties: 1) Any person who knowingly makes any false or fraudulent statement, or omits or conceals a material fact can be fined up to \$250,000 or imprisoned for up to 5 years, or both (18 USC 1001). Any person who illegally imports a nonroad engine may be fined up to \$27,500 per nonroad engine (42 USC 7524), and may be subject to forfeiture of the entire importation bond, if applicable (40 CFR 91.705(d)), and the engine is subject to seizure by Customs (19 CFR 162.21). 2) Any person who manufactures and distributes in commerce, sells, offers for sale, or introduces into commerce a nonroad engine subject to EPA certification requirements but not covered by a certificate of conformity, may be fined up to \$27,500 per violation (40 CFR 91.1103(a)(1); 91.1106(a)(1)).

Description and Declaration of Engines Used in Marine Applications

Port code	Entry date	Customs entry number	If engines are conveyed by or attached to a marine vessel, provide description of vessel
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For each imported marine engine, provide the following detailed information on engine type (outboard SI, personal watercraft, or non-propulsion CI), engine identification, equipment identification including type (e.g. generator) as applicable, and applicable box from page 1 or 2 of this form.

List additional marine engines on an attachment, providing all required information. ☐ Check here if additional engine list is attached

<u>Engine type, build date, manufacturer, model, serial no.</u>	<u>Equipment type, manufacturer, model, serial no.</u>	<u>Box #</u>
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Names, Addresses, and Telephone Numbers of Relevant Parties

Certification: I certify that I have read and understand the purpose of this form, the penalties for falsely declaring information, or for providing misleading information, or for concealing a material fact. The information I have provided is correct, and all required attachments are appended to this form. I authorize EPA Enforcement Officers to conduct inspections or testing permitted by the Clean Air Act. I am the owner, importer, or agent for the owner or importer.

Importer (name, address, phone):	Owner (name, address, phone):	Signature: _____ Date: _____ Name, company and phone (type or print): _____
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☐ **1. U.S. certified engine** - Marine engine bearing a U.S. EPA emission control label in English.

☐ **2. Unregulated marine engine manufactured prior to EPA emission requirements** - See top of this page for dates of applicability.



3. Nonconforming engine imported by an engine manufacturer holding a current U.S. EPA certificate of conformity

- ☐ 2a. Manufacturer-owned exemption - imported subject to restrictions at 40 CFR 91.1006, and labeled accordingly.
- ☐ 2b. Replacement engine exemption - imported subject to restrictions at 40 CFR 91.1103(b)(4), and labeled accordingly.

4. Nonconforming engines imported temporarily under Customs bond - EPA requests that engines be bonded for at least their full value.

- ☐ 3a. for repair or alteration in accordance with 40 CFR 91.704(b)(1).
- ☐ 3b. for testing purposes in accordance with 40 CFR 91.704(b)(2) or 91.1005.
- ☐ 3c. for display in accordance with 40 CFR 91.704(b)(3).
- ☐ 3d. for export to a country with nonroad engine emission standards different from EPA standards, in accordance with 40 CFR 91.1009. Both the engine and its container are labeled or tagged "solely for export".

5. Nonconforming engines imported under attached EPA letter of authorization

- ☐ 4b. Competition exclusion - a competition engine meeting criteria found at 40 CFR 91.704(e).
- ☐ 4c. Hardship exemption - EPA approval based on unforeseen case of extreme hardship or extraordinary circumstance.

6. Nonconforming engines imported under special conditions

- ☐ 5a. Identical in all material respects to U.S. certified version as demonstrated by letter from the engine manufacturer contact on Customs list, subject to EPA review at Customs' discretion. Imported for purposes other than resale in accordance with 40 CFR 91.704(c)(2).
- ☐ 5b. Covered by national security exemption and labeled accordingly (40 CFR 91.704(c)(1), 91.1008).

7. Nonconforming engines imported temporarily by a foreign national for time period determined by Customs

- ☐ 6a. by nonresident for personal use by an individual importer for a period not to exceed the time period authorized by Customs.
- ☐ 6b. by member of the armed forces or personnel of a foreign government on assignment to the U.S., for whom free entry has been authorized in writing by the U.S. Department of State, or is a member of the armed forces of a foreign country and has official orders for duty in the U.S.

Additional information may be listed below in lieu of attachment to this form. Include all required engine and equipment descriptions from page 1.

This information is collected to ensure that marine engines imported into the U.S. conform with applicable emission requirements. Responses to this collection are mandatory (40 CFR 89.601 *et seq.* & 91.701 *et seq.* and Clean Air Act Sections 203 and 208). Information submitted to the Agency under a claim of confidentiality will be safeguarded according to policies set forth in Title 40, Chapter 1, Part 2, Subpart B. The public reporting and recordkeeping burden for this collection of information is estimated to average 30 minutes per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.


United States Environmental Protection Agency Marine Declaration Form 3520-28a
Simplified Form for an Individual Owner Importing a Marine Engine

U.S. E.P.A., Certification & Compliance Division (6405-J), 1200 Pennsylvania Ave. N.W., Washington D.C. 20460

This simplified form 3520-28a may be completed instead of form 3520-28 if you are an individual importing a single outboard engine or personal watercraft that you own, for your own use, and it either bears a U.S. EPA emission control label or was manufactured prior to EPA emission requirements. Complete either block A or B of the form, complete block C, and sign the form.

Keep the completed form with your import documents, and provide a copy to Customs if asked.

A. I am importing the following outboard spark ignition marine engine for my own use:

Model year _____

Manufacturer _____

Model _____

Serial number _____

Check one of the following statements:

☐ The above outboard engine bears a U.S. EPA emission control label indicating that it meets U.S. emission requirements.

☐ The above outboard engine was manufactured prior to the 1998 model year.

B. I am importing the following personal watercraft for my own use:

Model year _____

Manufacturer _____

Model _____

Serial number _____

Check one of the following statements:

☐ The above personal watercraft bears a U.S. EPA emission control label indicating that it meets U.S. emission requirements.

☐ The above personal watercraft was manufactured prior to the 1999 model year.

C. I am the importer and owner of the above outboard engine or personal watercraft and I am importing it for my own use, and not for resale. I have provided correct information on this form.

My name _____

My address _____

My telephone number _____

My signature and date _____

This information is collected to ensure that marine engines imported into the U.S. conform with applicable emission requirements. Responses to this collection are mandatory (40 CFR 89.601 et seq. & 91.701 et seq. and Clean Air Act Sections 203 and 208). Information submitted to the Agency under a claim of confidentiality will be safeguarded according to policies set forth in Title 40, Chapter 1, Part 2, Subpart B. The public reporting and recordkeeping burden for this collection of information is estimated to average 30 minutes per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.